

PATENT

Atty. Docket No: 1968 Reissue

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Charles A. Finn, et al

GROUP ART UNIT: 3731

SERIAL NO.: 08/988,331

EXAMINER: J. Woo

FILED : December 11, 1997

FOR: UNIVERSAL COUPLER FOR SPINAL FIXATION

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JAN 24 2002

GROUP 3700

CERTIFICATE OF FACSIMILE TRANSMISSION

Date of Transmission: January 24, 2002

I hereby certify that the following:

- ☒ This Certificate of Facsimile
- ☒ Amendment
- ☒ Copy of PTO form 1449 from parent application
- ☒ Supplemental Declaration signed by first inventor, Charles A. Finn
- ☒ Supplemental Declaration signed by second inventor, Scott Sherman

are being facsimile transmitted to Examiner Julian Woo at the U.S. Patent and Trademark Office at 703-872-9302 on the date shown above.

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Attorney's Docket No. 1968 Reissue
D3
4-16
#13SUPPLEMENTAL DECLARATION(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,
CONTINUATION OR CIP)

As a below named inventor, I hereby declare that:

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TYPE OF DECLARATION

JAN 24 2002

This declaration is of the following type: (check one)

GROUP 3700

☐ original
☐ design

(check one, if applicable)

☐ national stage of PCT
☒ supplemental☐ divisional
☐ continuation
☐ continuation-in-part (CIP)

INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

UNIVERSAL COUPLER FOR SPINAL FIXATION

SPECIFICATION IDENTIFICATION

the specification of which: (complete (a), (b) or (c))

(a) ☐ is attached hereto.(b) ☒ issued on December 12, 1995 as ☒ Patent No. 5,474,551
or ☐ Express Mail No. _____, as Serial No. not yet known.
and was amended in reissue on April 22, 1999, (if applicable).(c) ☐ was described and claimed in PCT International Application No. _____ filed on _____ and as amended under PCT Article 19 on _____ (if any).

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I hereby state that this declaration is being made without deceptive intent.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

FOREIGN PRIORITY CLAIM

☐ I hereby claim foreign priority benefits under Title 35, U.S.C. §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

(d) ☒ no such applications have been filed.

(e) ☐ such applications have been filed as follows

EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

COUNTRY	APPLICATION NO.	DATE OF FILING (month, day, year)	PRIORITY CLAIMED UNDER 35 USC 119
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☐ YES ☐ NO

ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. §120

☐ I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or PCT international application(s) designating the United States of America that are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in those prior application(s) in the manner provided by the first paragraph of 35 U.S.C. §120, I acknowledge the duty to disclose material information as defined in 37 C.F.R. §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

Prior U.S. Application(s) or PCT International Application(s) Designating the U.S. For Benefit Under 35 U.S.C. §120.

U.S. APPLICATIONS

Serial No.	Filing Date	Status (issued, pending or abandoned)
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PCT APPLICATIONS DESIGNATING THE U.S.

PCT Application No.	PCT filing date	U.S. Serial Nos. assigned (if any)	Status (patented, pending, abandoned)
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